DR 8404 (01/06/20) COLORADO DEPARTMENT OF REVENUE Liquor Enforcement Division (303) 205-2300

Colorado Liquor Retail License Application

New License							
All answers must be printed in black ink or typewritten							
 Applicant must check the appropriate box(es) Applicant should obtain a copy of the Colorado Liquor and Beer Code: www.colorado.gov/enforcement/liquor 							
- Applicant should obtain a co	py of the Colorado	Liquor and E	seer Code: www		emem	/iiquoi	
1. Applicant is applying as a/an	Individual l	Limited Liabil	ity Company	Association or O	ther		
	Corporation F	Partnership (i	ncludes Limited	Liability and Husband	d and	Wife Partnerships)	
2. Applicant If an LLC, name of LLC;	if partnership, at least 2	2 partner's nam	nes; if corporation,	name of corporation		FEIN Number	
2a. Trade Name of Establishment (DB	A)			State Sales Tax Numb	er	Business Telephone	
3. Address of Premises (specify exact	ct location of premises,	include suite/u	nit numbers)				
						I	
City			County		State	ZIP Code	
A Mailing Address (North an and Oto	4)		O'the are Towns		04-4-	710.0-1-	
4. Mailing Address (Number and Str	eet)		City or Town		State	ZIP Code	
5. Email Address							
5. Email Address							
C. If the proposition of the state of the st			la a fallaccina accessi				
If the premises currently has a lique Present Trade Name of Establishmen				Present Class of Licen	99	Present Expiration Date	
Tresent frade Name of Establishmen	(DDA)	1 resem otate	LICCIISC NUMBER	Tresent Glass of Electi	30	Tresent Expiration Date	
Section A	Nonrefundable Appl	lication Foos	Section B (Cent.)			Liquor License Fees	
			Section B (Cont.)				
Application Fee for New License.			l			\$312.50	
Application Fee for New License w			☐ Lodging & Entertainment - L&E (City)				
Application Fee for Transfer			I				
Section B	•	_icense Fees	I			\$75.00	
Add Optional Premises to H & R	Add Optional Premises to H & R\$100.00 X Total Manager Registration - Tavern						
☐ Add Related Facility to Resort Comp	olex\$75.00 X T	Total	Manager Registration - Lodging & Entertainment				
Add Sidewalk Service Area			— ☐ Manager Registration - Campus Liquor Complex				
Arts License (City)		\$308.75	I U Obligial Fiellises Licelise (City)				
Arts License (County)							
☐ Beer and Wine License (City)		\$351.25	L Naceliack Licelise (City)				
Beer and Wine License (County)\$436.25 Resort Complex License (City)\$5							
Brew Pub License (City)							
☐ Brew Pub License (County)	Brew Pub License (County)\$750.00 Related Facility - Campus Liquor Complex (City)\$						
	Campus Liquor Complex (City)\$500.00			•			
☐ Campus Liquor Complex (County)\$500.00			☐ Related Facility - Campus Liquor Complex (State)\$160.00				
0500.00			☐ Retail Gaming Tavern License (City)\$500.00				
☐ Club License (City)\$308.75 ☐ Retail Gaming Tavern License (City)			Tavern License (County)	ınty)\$500.00			
Club License (County)			☐ Retail Liquor S	tore LicenseAdditional (City)	\$227.50	
Distillery Pub License (City)			Retail Liquor S	tore LicenseAdditional (County)\$312.50	
	Distillery Pub License (County)				\$227.50		
\	☐ Hotel and Restaurant License (City)					\$312.50	
☐ Hotel and Restaurant License (County)\$500.00 ☐ Tavern License (City)\$500.00							
☐ Hotel and Restaurant License w/one opt premises (City)\$600.00 ☐ Tavern License (County)							
Unities Residurant License (City)							
□ Liquor–Licensed Drugstore (City)\$227.50 □ Vintners Restaurant License (County)\$750.00							
Questions? Visit: www.colorado.gov/enforcement/liquor for more information							
Do	not write in this s	pace - For I	Department of	Revenue use onl	у		
			nformation				
License Account Number	Liability Date	License Issue	ed Through (Expira	tion Date)	Total		
					\$		

DR 8404 (01/06/20)

Application Documents Checklist and Worksheet

Instructions: This checklist should be utilized to assist applicants with filing all required documents for licensure. **All** documents must be properly signed and correspond with the name of the applicant <u>exactly</u>. **All** documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable. **Questions? Visit:** <u>www.colorado.gov/enforcement/liquor for more information</u>

	<u> </u>
	Items submitted, please check all appropriate boxes completed or documents submitted
I.	Applicant information
	A. Applicant/Licensee identified
	B. State sales tax license number listed or applied for at time of application
	C. License type or other transaction identified
	D. Return originals to local authority (additional items may be required by the local licensing authority)
	E. All sections of the application need to be completed
II.	Diagram of the premises
	A. No larger than 8 1/2" X 11"
	B. Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences,
	walls, entry/exit points, etc.)
	C. Separate diagram for each floor (if multiple levels)
	D. Kitchen - identified if Hotel and Restaurant
	E. Bold/Outlined Licensed Premises
III.	Proof of property possession (One Year Needed)
	A. Deed in name of the applicant (or) (matching question #2) date stamped / filed with County Clerk
	 B. Lease in the name of the applicant (or) (matching question #2) C. Lease assignment in the name of the applicant with proper consent from the landlord and acceptance by the applicant
	D. Other agreement if not deed or lease. (matching question #2)
1) /	
IV.	Background information (DR 8404-I) and financial documents
	A. Complete DR 8404-I for each principal (individuals with more than 10% ownership, officers, directors,
	partners, members) B. Fingerprints taken and submitted to the appropriate Local Licensing Authority through an approved state
	vendor. Do not complete fingerprint cards prior to submitting your application.
	The Vendors are as follows:
	IdentoGO – https://uenroll.identogo.com/
	Phone: 844-539-5539 (toll-free)
	IdentoGO FAQs: https://www.colorado.gov/pacific/cbi/identification-faqs
	Colorado Fingerprinting – http://www.coloradofingerprinting.com
	Appointment Scheduling Website: http://www.coloradofingerprinting.com/cabs/
	Phone: 720-292-2722 Toll Free: 833-224-2227
	☐ C. Purchase agreement, stock transfer agreement, and/or authorization to transfer license
	☐ D. List of all notes and loans (Copies to also be attached)
V.	Sole proprietor/husband and wife partnership (if applicable)
٧.	☐ A. Form DR 4679
	☐ B. Copy of State issued Driver's License or Colorado Identification Card for each applicant
\/I	Corporate applicant information (if applicable)
٧	☐ A. Certificate of Incorporation
	☐ B. Certificate of Good Standing
	☐ C. Certificate of Authorization if foreign corporation (out of state applicants only)
VII	Partnership applicant information (if applicable)
V 11.	☐ A. Partnership Agreement (general or limited).
	B. Certificate of Good Standing
VIII	. Limited Liability Company applicant information (if applicable)
V 1111.	A. Copy of articles of organization
	☐ B. Certificate of Good Standing
	C. Copy of Operating Agreement (if applicable)
	☐ D. Certificate of Authority if foreign LLC (out of state applicants only)
IX	Manager registration for Hotel and Restaurant, Tavern, Lodging & Entertainment, and Campus Liquor
171.	Complex licenses when included with this application
	□ A. \$75.00 fee
	B. Individual History Record (DR 8404-I)
	☐ C. If owner is managing, no fee required

DR 8404 (01/06/20)

Nan	le		Type of Lice	nse	Account 1	Number		
7.	Is the applicant (including any of the partners if a stockholders or directors if a corporation) or man-				bility company; or off	ficers,	Yes	No
8.	B. Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state):							
	a. Been denied an alcohol beverage license?							
	b. Had an alcohol beverage license suspended of							
lf vo	c. Had interest in another entity that had an alcoh	-	suspended or	revoked?				Ш
9 .	f you answered yes to 8a, b or c, explain in detail on a separate sheet. 1. Has a liquor license application (same license class), that was located within 500 feet of the proposed premises, been denied within the preceding two years? If "yes", explain in detail.							
10.	Are the premises to be licensed within 500 feet, c Colorado law, or the principal campus of any colle			meets compuls	ory education require	ements of		or
					Waiver by Other:	local ordinance?		
11.	Is your Liquor Licensed Drugstore (LLDS) or Resales in a jurisdiction with a population of greater that begins at the principal doorway of the LLDS/way of the Licensed LLDS/RLS.	than (>) 10,0000? N	NOTE: The dist	ance shall be o	determined by a radiu	us measurement		
12.	12. Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 3000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of less than (<) 10,0000? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the Licensed LLDS/RLS.							
13	a. For additional Retail Liquor Store only. Was you	r Retail Liquor Store	License issue	d on or before	January 1, 2016?			
13	b. Are you a Colorado resident?							
14.	14. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a Limited Liability Company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any current financial interest in said business including any loans to or from a licensee.							
15.	15. Does the applicant, as listed on line 2 of this application, have legal possession of the premises by ownership, lease or other arrangement?							
	☐ Ownership ☐ Lease ☐ Other (Explain in l	,	41 41					
	a. If leased, list name of landlord and tenant, and		actiy as they a	ippear on the ie	ease:			
Land	dlord	Tenant				Expires		
	b. Is a percentage of alcohol sales included as co							
	c. Attach a diagram that designates the area to b partitions, entrances, exits and what each roor							
16.	Who, besides the owners listed in this application (i inventory, furniture or equipment to or for use in the							
Last	Name	First Name		Date of Birth	FEIN or SSN	Interest/I	Percei	ntage
Last	Name	First Name		Date of Birth	FEIN or SSN	Interest/I	Percei	ntage
Attach copies of all notes and security instruments and any written agreement or details of any oral agreement, by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation.								
17.	Optional Premises or Hotel and Restaurant Licer Has a local ordinance or resolution authorizing options are consistent of the control of the							
Number of additional Optional Premise areas requested. (See license fee chart)								
	For the addition of a Sidewalk Service Area per the local governing body authorizing use of the s other legal permissions.	idewalk. Documenta	(4), include a tion may include	diagram of the	e service area and do nited to a statement o	ocumentation record use, permit, ea	eived seme	from nt, or
19.	 19. Liquor Licensed Drugstore (LLDS) applicants, answer the following: a. Is there a pharmacy, licensed by the Colorado Board of Pharmacy, located within the applicant's LLDS premise? If "yes" a copy of license must be attached. 							

	104 (01/06/20)							
Nam	ne		Type of License		Account Number			
20. Club Liquor License applicants answer the following: Attach a copy of applicable documentation								
	a. Is the applicant organization operated solely for a national, social, fraternal, patriotic, political or athletic purpose and not for pecuniary gain?					П	$\overline{\Box}$	
	b. Is the applicant organization a regularly	chartered branch, lodge or o	chapter of a national org					
	object of a patriotic or fraternal organization or society, but not for pecuniary gain?							
	c. How long has the club been incorporate					L		
	d. Has applicant occupied an establishmen			ed solely for th	e reasons stated above?			
	Brew-Pub, Distillery Pub or Vintner's Resta a. Has the applicant received or applied for	or a Federal Permit? (Copy o		ust be attache	ed)			
22.	Campus Liquor Complex applicants answe	•				V	NI.	
	a. Is the applicant an institution of higher e	education?				res	No	
	b. Is the applicant a person who contracts				ad carvicas			
23.	If "yes" please provide a copy of the For all on-premises applicants.	Contract with the institution	in of fligher education	to provide to	ou services.			
	A. Hotel and Restaurant, Lodging and Enter Individual History Record	ertainment, Tavern License a	ind Campus Liquor Com	plex, the Regi	stered Manager must also s	submit	t an	
	- DR 8404-I and fingerprint submitted to					or deta	ails.	
	b. For all Liquor Licensed Drugstores (LLDS - DR 8000 and fingerprints.	S) the Permitted Manager mu	st also submit an Manag	er Permit Appli	cation			
Last	Name of Manager		First Name of Manager	•		-		
	•							
24.	Does this manager act as the manager of, Colorado? If yes, provide name, type of lice		n, any other liquor licens	sed establishm	nent in the State of			
25.	Related Facility - Campus Liquor Complex		ving:			Yes	No	
	$\boldsymbol{a}.$ Is the related facility located within the \boldsymbol{b}	•						
	If yes, please provide a map of the geog				Compley			
	If no, this license type is not available for b. Designated Manager for Related Facilit		onical location of the Car	ripus Liquoi C	omplex.			
Last Name of Manager First Name of Manager								
26. Tax Information. Yes							No	
a. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant, been found in final order of a tax agency to be delinquent in the payment of any state or local taxes, penalties, or interest related to a business?								
	payment of any state of local taxes, per	iailes, or interest related to a	d Dusiliess:					
b. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant failed to pay any fees or surcharges imposed pursuant to section 44-3-503, C.R.S.?								
27.	If applicant is a corporation, partnership, and Managing Members. In addition, ap applicant. All persons listed below mu	plicant must list any stockho	olders, partners, or mem	nbers with ow i	nership of 10% or more in	ı the		
ļ	State Vendor through their website. See a	application checklist, Section	n IV, for details.					
Nam	ne	Home Address, City & State	9	DOB	Position	%Ov	vned	
Nam	ne	Home Address, City & State)	DOB	Position	%Ow	vned	
ļ.,		0.11		DOD	D :::	0/0		
INam	Name Home Address, City & State DOB Position		Position	%Ov	vnea			
Nam	Name Home Address, City & State DOB Position			%Ov	vned			
Nam	Name Home Address, City & State DOB Position 9					%Ov	vned	
	** If applicant is owned 100% by a parent company, please list the designated principal officer on above. ** Corporations - the President, Vice-President, Secretary and Treasurer must be accounted for above (Include ownership percentage if applicable)							
** If	total ownership percentage disclosed here Applicant affirms that no individual other	• • • • • • • • • • • • • • • • • • • •		e applicant an	d does not have financial in	terest	in a	
	prohibited liquor license pursuant to Article 3 or 5, C.R.S.							

Name	Type of License		Account Number					
	Oath Of	Applicant						
I declare under penalty of perjury in the second det knowledge. I also acknowledge that it is my respo Colorado Liquor or Beer Code which affect my lice	gree that this application ar nsibility and the responsib	nd all attachments are tru	ie, correct, and nployees to co	complete to the best omply with the provision	of my ns of the			
Authorized Signature Printed Name and Title					Date			
Report and Approval of Local Licensing Authority (City/County)								
Date application filed with local authority Date of local authority hearing (for new license applicants; cannot be less than 30 days from date of a								
The Local Licensing Authority Hereby Affirms that eabeen: Fingerprinted Subject to background investigation, included the local authority has conducted, or intends and aware of, liquor code provisions affecting their (Check One) Date of inspection or anticipated date	uding NCIC/CCIC check for to conduct, an inspection ir class of license	or outstanding warrants	,	, G	·			
☐ Will conduct inspection upon approval of state licensing authority ☐ In the Liquer Licensed Druggetore (LLDS) or Retail Liquer Store (RLS) within 1.500 feet of another retail liquer license for off Yes No.								
☐ Is the Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1,500 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of > 10,0000?								
☐ Is the Liquor Licensed Drugstore(LLDS) or Retail Liquor Store (RLS) within 3,000 feet of another retail liquor license for of premises sales in a jurisdiction with a population of < 10,0000?			uor license for off-					
NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the Licensed LLDS/RLS.					ises			
Does the Liquor-Licensed Drugstore (LLDS) have at least twenty percent (20%) of the applicant's gross annual income derived from the sale of food, during the prior twelve (12) month period?								
The foregoing application has been examined; an report that such license, if granted, will meet the rwith the provisions of Title 44, Article 4 or 3, C.R.S.	easonable requirements o	f the neighborhood and	the desires of t					
Local Licensing Authority for Telephone Number				☐ Town, City				
Signature			Date					
Signature	nature Print Title		Date					

Tax Check Authorization, Waiver, and Request to Release Information

I, am signing this Tax Check Authorization, Waiver and Request to Release Information (hereinafter "Waiver") on behalf of (the "Applicant/Licensee") to permit the Colorado Department of Revenue and any other state or local taxing authority to release information and documentation that may otherwise be confidential, as provided below. If I am signing this Waiver for someone other than myself, including on behalf of a business entity, I certify that I have the authority to execute this Waiver on behalf of the Applicant/Licensee.					
The Executive Director of the Colorado Department of Revenue is the State Licensing Authority, and oversees the Colorado Liquor Enforcement Division as his or her agents, clerks, and employees. The information and documentation obtained pursuant to this Waiver may be used in connection with the Applicant/Licensee's liquor license application and ongoing licensure by the state and local licensing authorities. The Colorado Liquor Code, section 44-3-101. et seq. ("Liquor Code"), and the Colorado Liquor Rules, 1 CCR 203-2 ("Liquor Rules"), require compliance with certain tax obligations, and set forth the investigative, disciplinary and licensure actions the state and local licensing authorities may take for violations of the Liquor Code and Liquor Rules, including failure to meet tax reporting and payment obligations.					
The Waiver is made pursuant to section 39-21-113(4), C.R.S., and any other law, regulation, resolution or ordinance concerning the confidentiality of tax information, or any document, report or return filed in connection with state or local taxes. This Waiver shall be valid until the expiration or revocation of a license, or until both the state and local licensing authorities take final action to approve or deny any application(s) for the renewal of the license, whichever is later. Applicant/Licensee agrees to execute a new waiver for each subsequent licensing period in connection with the renewal of any license, if requested.					
By signing below, Applicant/Licensee requests that the Colorado Department of Revenue and any other state or local taxing authority or agency in the possession of tax documents or information, release information and documentation to the Colorado Liquor Enforcement Division, and is duly authorized employees, to act as the Applicant's/Licensee's duly authorized representative under section 39-21-113(4), C.R.S., solely to allow the state and local licensing authorities, and their duly authorized employees, to investigate compliance with the Liquor Code and Liquor Rules. Applicant/Licensee authorizes the state and local licensing authorities, their duly authorized employees, and their legal representatives, to use the information and documentation obtained using this Waiver in any administrative or judicial action regarding the application or license.					
Name (Individual/Business)		Social Security Number	/Tax Identification Number		
Address					
City		State	Zip		
Home Phone Number Business/Work PI		hone Number			
Printed name of person signing on behalf of the Applicant/Licensee					
Applicant/Licensee's Signature (Signature authorizing the disclosure of confidential tax information) Date signed			Date signed		
Privacy Act Statement Providing your Social Security Number is voluntary and no right, benefit or privilege provided by law will be denied as a result of refusal to disclose it. § 7 of Privacy Act, 5 USCS § 552a (note).					